

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Seung U. Kim  
Serial No. : 09/887,145  
Filed : June 22, 2001  
For : "IMMORTALIZED HUMAN MICROGLIA  
CELL AND CONTINUOUS CELL LINE"  
Examiner : unknown  
Group Art Unit : unknown  
Attorney's Docket No. : UBC-002

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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Assistant Commissioner for Patents, Box Missing Parts, Washington, D.C. 20231 on: Nov. 13, 2001.

Attorney for Applicant: David Prashkin  
Signature: David Prashkin  
Date: Nov. 13, 2001

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REQUEST FOR ENTRY OF SUBSTITUTE SIGNED DOCUMENT

Assistant Commissioner for Patents  
Box Missing Parts  
Washington, D.C. 20231

Dear Sir:

In accompaniment with the enclosed Reply to the Notice To File Missing Parts Of NonProvisional Application Filed Under 37 C.F. R. 53(b), Filing Date Granted mailed on July 19, 2001 and extended

through November 19, 2001, applicant's undersigned attorney respectfully requests that the enclosed formally executed document now be entered in and be made officially of record for the above-identified application. Accordingly, this substitute signed document, after being entered and properly recorded, shall be transferred from the Applications Branch to the appropriate Group Art Unit and to the custody of the Patent Examiner of record; and this substitute signed document is to be used by the Patent Examiner of record during the substantive prosecution of this application.

Respectfully submitted,

SEUNG U. KIM

Date: Nov. 13, 2001  
P.O. Box 5387  
Magnolia, Massachusetts 01930  
Tel.: (978) 525-3794

By: David Prashker  
David Prashker  
Reg. No. 29,693  
Attorney for applicant

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Attorney for Applicant: David P. Slikker

Signature: David P. Slikker

Date: Nov. 13, 2001

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REPLY TO NOTICE TO FILE MISSING PARTS OF  
NONPROVISIONAL APPLICATION FILED UNDER  
37 CFR 1.53(b), FILING DATE GRANTED

Assistant Commissioner for Patents  
Box Missing Parts  
Washington, D.C. 20231

Dear Sir:

In response to the Notice To File Missing Parts Of NonProvisional Application Filed Under 37 C.F.R. 1.53(b), Filing Date Granted as mailed July 19, 2001 and extended through November 19, 2001, please find enclosed the following documents for filing on behalf of

applicant and for formal entry into the above-identified application:

1. A combined Declaration And Power Of Attorney which identifies the present application by the serial number and filing date affixed thereto and which is signed and dated by the sole named inventor. This signed Declaration And Power Of Attorney was signed by the sole named inventor only after first reviewing the original Specification now of record.

2. A Request for entry of formalized substitute documents.

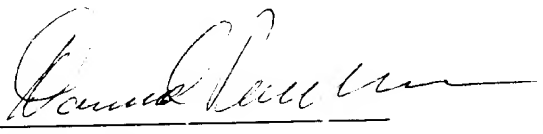
3. One copy of the Notice To File Missing Parts Of NonProvisional Application Filed Under 37 C.F.R. 1.53(b), Filing Date Granted as mailed July 19, 2001.

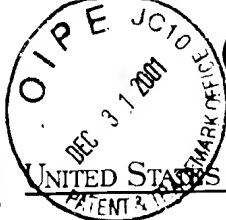
In view of the enclosed documents and applicant's status as a Small Entity, the requisite fee payment now due is sixty five (\$65.00) dollars as a surcharge fee. Accordingly, please find enclosed a check in the amount of sixty five (\$65.00) dollars in complete payment of the fees as due. This additional payment by check is deemed to satisfy in full all the requisite filing fees now due for the above-identified application.

Respectfully submitted,

SEUNG U. KIM

Date: Nov. 13, 2001  
P.O. Box  
Magnolia, Massachusetts 01930  
Tel.: (978) 525-3794

By:   
David Prashker  
Reg. No. 29,693  
Attorney for applicant



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D. C. 20523  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/887,145	06/22/2001	Seung U. Kim	UBC-002

CONFIRMATION NO. 8023

## FORMALITIES LETTER



\*OC000000006317492\*

David Prashker  
DAVID PRASHKER, P.C.  
P.O. Box 5387  
Magnolia, MA 01930

Date Mailed: 07/19/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**
- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600

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- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

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*A copy of this notice MUST be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1292

PART 2 - COPY TO BE RETURNED WITH RESPONSE